



# ISO 14001:2015 EMS Audit Checklist

**Organization: Audit Date: Auditor(s):**

## **Clause 4: Context of the Organization**

1. Have internal and external issues relevant to the EMS been identified and documented?
2. Is there evidence of determining interested parties and their needs and expectations?
3. Has the scope of the EMS been defined and documented?
4. Are the processes for establishing the EMS being effectively managed?

## **Clause 5: Leadership**

1. Is there evidence of leadership commitment to the EMS?
2. Has an environmental policy been established, communicated, and maintained?
3. Are roles, responsibilities, and authorities defined and communicated within the organization?

## **Clause 6: Planning**

1. Are environmental aspects identified and assessed for significance?
2. Have legal and other requirements related to environmental aspects been determined?
3. Are environmental objectives and targets established, documented, and communicated?
4. Is there evidence of planning actions to achieve environmental objectives and targets?
5. Are plans in place to address risks and opportunities related to the EMS?

## **Clause 7: Support**

1. Are resources provided to establish, implement, maintain, and continually improve the EMS?
2. Is competence determined and maintained for personnel performing tasks that affect the EMS?



3. Is awareness of the environmental policy, significant aspects, and EMS requirements promoted within the organization?
4. Are communication processes established, implemented, and maintained?
5. Is documented information required by the EMS maintained?

#### **Clause 8: Operation**

1. Are operational controls established to manage significant environmental aspects?
2. Is emergency preparedness and response planned and implemented?
3. Are procedures established for the control of documented information?
4. Is there evidence of monitoring and measurement of key performance indicators?
5. Are nonconformities and corrective actions managed effectively?

#### **Clause 9: Performance Evaluation**

1. Are internal audits planned, conducted, and documented?
2. Are management reviews planned, conducted, and documented?
3. Is the effectiveness of the EMS evaluated and improved through corrective actions and continual improvement processes?

#### **Clause 10: Improvement**

1. Are nonconformities and corrective actions identified, documented, and addressed?
2. Is there evidence of continual improvement through the implementation of corrective actions and enhancements to the EMS?

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Please note that this is a generic checklist and may need customization based on the specific requirements and operations of your organization. Additionally, it's crucial to ensure that auditors conducting the audit are competent and independent to provide accurate assessments.